

**THE AUSTRALIAN NATIONAL UNIVERSITY**  
**UNIVERSITY RESEARCH COMMITTEE**

**Meeting No. 4, 2008**

Meeting No. 4, 2008 of the University Research Committee will be held on

**Friday, 7 November 2008**

**at 9.30 am in the**

**Ross Hohnen Room of the Chancelry**

Apologies:  
Ext.54080

J A Bruce  
Secretary to the Committee

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**AGENDA SUMMARY**

**PART 1: PROCEDURAL ITEMS**

1. **Minutes**
2. **Matters Arising**
3. **Announcements and Apologies**

**PART 2: REPORTS AND POLICY ITEMS**

4. **Report from the Deputy Vice-Chancellor**
5. **Research Planning Day**
6. **Open Access and Presentation of Research**
7. **Excellence in Research for Australia (ERA)**
8. **Research Integrity**
9. **Grant Outcomes**
10. **Research Equipment Funding**
11. **Reviews**
12. **Policy Developments**
13. **Reports from ANU Colleges**

**PART 3: ITEMS OF OTHER BUSINESS**

14. **Other Business and Question Time**

**Procedural Matters for Members to note:****OPERATING PROCEDURES**

The operating procedures of the University Research Committee are detailed in paper 69/2008 (at [http://info.anu.edu.au/OVC/Committees/060PP\\_Research/index.asp](http://info.anu.edu.au/OVC/Committees/060PP_Research/index.asp)).

**CONFIDENTIAL ITEMS**

Consistent with the policy and practice of Council, all matters in the agenda of the University Research Committee relating to individual persons, including appointments, enrolment, candidacy for degrees, personal details, performance and conduct are declared to be confidential. If any member wishes to raise a confidential matter in relation to any other item, he or she should do so under Item 3.3. After consideration of the confidential items, observers will be admitted to the meeting.

**DISCLOSURE OF PECUNIARY OR MATERIAL PERSONAL INTEREST**

Sections 27F to section 27H of the *Commonwealth Authorities and Companies Act 1997* <http://scaleplus.law.gov.au/html/pasteact/2/3067/top.htm> impose a duty to disclose any material personal interest in a matter which relates to the affairs of the University. This disclosure must give details of the nature and extent of the interest, the relation of the interest to the affairs of the University, and be given at a meeting as soon as practicable after the person becomes aware of the interest. The nature and extent of the interest must be recorded in the minutes of the meeting. Unless the meeting determines otherwise, a person who has a material personal interest in a matter must not be present while the matter is being considered at the meeting, and must not vote on the matter.

**USE OF INSIDE INFORMATION OR POSITION**

Under sections 24 and 25 of the *Commonwealth Authorities and Companies Act 1997*, a member of the University Research Committee must not make improper use of his or her position or of inside information to gain an advantage for himself or herself or to cause detriment to the University or to another person.

jab/30 October 2008

**PART 1: PROCEDURAL ITEMS**

**ITEM 1. MINUTES**

The unconfirmed minutes of Meeting No. 3, 2008 of the University Research Committee (918/2008 attached) held on 24 July 2008 have been published at [http://info.anu.edu.au/OVC/Committees/060PP\\_Research/meetings.asp](http://info.anu.edu.au/OVC/Committees/060PP_Research/meetings.asp).

**For confirmation**

**ITEM 2. MATTERS ARISING**

**ITEM 3. ANNOUNCEMENTS AND APOLOGIES**

**3.1 Apologies**

**To be received**

**3.2 Announcements**

**For noting**

**3.3 Confidential Items**

Confidential Items will be dealt with in this part of the agenda.

**For consideration**

**PART 2: REPORTS AND POLICY MATTERS****ITEM 4. REPORT FROM THE DEPUTY VICE-CHANCELLOR****PURPOSE**

For the Deputy Vice-Chancellor to report on matters of interest to the Committee.

**BACKGROUND**

The Deputy Vice-Chancellor will report on current issues:

- NCRIS  
*Australian Roadmap for Australian Research Infrastructure*  
See [http://ncris.innovation.gov.au/Documents/2008\\_Roadmap.pdf](http://ncris.innovation.gov.au/Documents/2008_Roadmap.pdf)
- Reviews at ANU:  
See: [http://info.anu.edu.au/OVC/Executive/070PP\\_Reports\\_and\\_Papers/index.asp](http://info.anu.edu.au/OVC/Executive/070PP_Reports_and_Papers/index.asp)
  - . ANUCAP
  - . 2008 Review of Information Infrastructure and Services
  - . Neuroscience Review
- Submissions and Plans:
  - . 2008 Institution Assessment Framework Information Collection: Research, Research Training and Innovation  
See [http://unistats.anu.edu.au/Pubs/IAFIC/IAF\\_2008/](http://unistats.anu.edu.au/Pubs/IAFIC/IAF_2008/)
  - . ANU Master Plan for Research and Education  
See: [http://info.anu.edu.au/OVC/Committees/030PP\\_Community/ucecmtg5\\_2008/ANUResearchEducationMasterPlan2008Final.pdf](http://info.anu.edu.au/OVC/Committees/030PP_Community/ucecmtg5_2008/ANUResearchEducationMasterPlan2008Final.pdf)
- Fellowships
  - . Go8 Future Research Leaders Program: A training program for current and emerging research leaders at ANU (at [http://info.anu.edu.au/OVC/Executive/020PP\\_Strategies,\\_Initiatives\\_and\\_Alliances/FRLP/index.asp](http://info.anu.edu.au/OVC/Executive/020PP_Strategies,_Initiatives_and_Alliances/FRLP/index.asp))
  - . Future Fellowships (at [http://www.anu.edu.au/ro/OSR/Funding/funding\\_ARC\\_FT\\_9.php](http://www.anu.edu.au/ro/OSR/Funding/funding_ARC_FT_9.php))
  - . Australian Laureate Fellowships Scheme
- Appointments and Honours
  - . Chief Scientist, Professor Penny Sackett
  - . Board of CSIRO membership: Professor Chubb, Vice-Chancellor
  - . 2008 Science Minister's Prize for Life Scientist of the Year: Dr Carola Garcia de Vinuesa, JCSMR
- Giant Magellan Telescope

**SPONSOR:** Deputy Vice-Chancellor

**RECOMMENDATION**

That the Committee note the report from the Deputy Vice-Chancellor.

## **ITEM 5. RESEARCH PLANNING DAY**

### **PURPOSE**

To receive a report on the 2008 Research Planning Day held on 22 October at Old Parliament House.

### **BACKGROUND**

Research Planning Day Report:

- The Deputy Vice-Chancellor welcomed the 120 participants.
- As part of his welcome, Professor Cram gave an historical overview of the ANU and its structure, as well as a discussion on rankings and current structure.
- The ProVice-Chancellor (Research), Professor Mandy Thomas gave an overview of our current research performance in terms of ARC and NH&MRC grants and fellowships. An improvement in Linkage was noted. The strategic importance of Future and Laureate Fellowships was discussed. It was noted that HDR students in future years will have a compulsory ethics component to their course.
- The Deputy Vice-Chancellor gave an overview of the current research portfolio. This included an overview of the different methods for ranking universities and our relative performance within the Group of Eight. The role of commercialisation at ANU was discussed.
- Dr Keats Nelms gave a presentation on business development in a University context. Phenomix was used as a case study. The role of the Business Development Office was clarified as being to achieve institutional commercial development goals.
- The first workshop session was based on the role of research managers at ANU and our current procedures, including proposed revision of the grant procedures. The Deputy Vice-Chancellor and Dr Ian McMahon, Director, Research Office, introduced the session.
- The second (and final) workshop session was based on driving collaboration in research. Dr Jannette Lindesay introduced the session which was jointly hosted by Dr Lorraine Elliott, Dr Alistair Rendell, and Dr John Bowden. The aim was to discuss how to facilitate research collaboration leading to successful bids for ARC Centres of Excellence, Special Research Centres, and other large collaborative research centres. See attachment (1267/2008).

**SPONSOR:** Deputy Vice-Chancellor

### **RECOMMENDATION**

That the Committee note the report.

**ITEM 6. OPEN ACCESS AND PRESENTATION OF RESEARCH**

**PURPOSE**

**BACKGROUND**

Item to be advised

**SPONSOR:** Professor Stanton, Pro Vice-Chancellor

**RECOMMENDATION**

**ITEM 7. EXCELLENCE IN RESEARCH FOR AUSTRALIA (ERA)**

**PURPOSE**

To receive an update on progress towards the commencement of ERA.

**BACKGROUND**

Attachment and References:

- Pilot Submission Guidelines at [http://www.arc.gov.au/pdf/ERA\\_PilotGuidelines.pdf](http://www.arc.gov.au/pdf/ERA_PilotGuidelines.pdf)
- Report on Pilot Submission ([1274/2008](#))

The University is currently participating successfully in an ERA Pilot for the ARC. The focus of the Pilot is to conduct a limited test of the IT system to capture a subset of ERA information, in particular, the four major research publication categories and Australian competitive grant income. Participation is voluntary and will help the ARC to develop a quality evaluation system and prepare institutions for Cluster 1 and 2 (humanities and physics) evaluations in 2009. Institutions participating in the ERA Pilot have been able to submit data since Wednesday 1 October through until Friday 14 November 2008.

**SPONSOR:** Deputy Vice-Chancellor

**RECOMMENDATION**

That the Committee note the report and any matters arising in discussion.

## ITEM 8. RESEARCH INTEGRITY

### PURPOSE

To receive reports on developments in research integrity.

### BACKGROUND

#### 8.1 Office of Research Integrity

- Update on ARIES human research ethics module
- Protocols
- Mandatory research integrity training program for PhD students

Attachment:

- 2008 Human Ethics Protocols Statistics

#### 8.2 *Australian Code for the Responsible Conduct of Research*

- Part B of the *Code*
- Minister's statement

Attachments and References:

- *Address to Managing Serious Research Misconduct Workshop* by the Minister for Innovation, Industry, Science and Research, Senator the Hon Kim Carr at:  
<http://minister.innovation.gov.au/Carr/Pages/AddressstoManagingSeriousResearchMisconductWorkshop.aspx>
- Speaking notes, Professor L Cram, for Department of Innovation, Industry, Science and Research Research Integrity Workshop at  
[http://www.go8.edu.au/index.php?option=com\\_content&task=view&id=181&Itemid=180](http://www.go8.edu.au/index.php?option=com_content&task=view&id=181&Itemid=180)
- Go8 principles for implementing the *Australian Code for the Responsible Conduct of Research 2007* Go8 Consultative paper September 2008 also presented at the Workshop at  
[http://www.go8.edu.au/index.php?option=com\\_content&task=view&id=181&Itemid=180](http://www.go8.edu.au/index.php?option=com_content&task=view&id=181&Itemid=180)

**SPONSOR:** Professor Thomas, Pro Vice-Chancellor and Professor Cram, Deputy Vice-Chancellor

### RECOMMENDATION

That the Committee note the reports.

## **ITEM 9. GRANT OUTCOMES**

### **PURPOSE**

To receive reports and to comment on the outcome of the ARC and NHMRC grant rounds and processes.

### **BACKGROUND**

Attachments:

- 2009 ARC Grants ([1103/2008](#)) report on ANU's success in each of the schemes for funding commencing in 2009:
  - . Discovery Projects
  - . Discovery Indigenous Researchers Development
  - . Linkage Projects Round 1
  - . Linkage Infrastructure ARC International Fellowships
  
- 2009 NHMRC ([1104/2008](#)) report on ANU's success in the Project Grants round. Results in other NHMRC schemes are yet to be announced.

**SPONSOR:** Deputy Vice-Chancellor

### **RECOMMENDATION**

That the Committee note the outcome of the grants rounds for 2009 and any matters raised in discussion.

## **ITEM 10. RESEARCH EQUIPMENT FUNDING**

### **PURPOSE**

For consideration of the Report on the Review of ANU Research Equipment Funding.

### **BACKGROUND**

Attachment:

- Review of ANU Research Equipment Funding – Recommendations ([1272/2008](#))

The Committee, at its meeting of 1 May 2008, noted the terms of reference for the Review (368/2008 linked - [http://info.anu.edu.au/OVC/Committees/060PP\\_Research/\\_urcmtg2\\_2008/368ANUEquipmentFundingtorapr08.pdf](http://info.anu.edu.au/OVC/Committees/060PP_Research/_urcmtg2_2008/368ANUEquipmentFundingtorapr08.pdf) ) and the *ANU Major Equipment Funding Discussion Paper* (369/2008 linked - [http://info.anu.edu.au/OVC/Committees/060PP\\_Research/\\_urcmtg2\\_2008/369ANUEquipFundDiscppapr08.pdf](http://info.anu.edu.au/OVC/Committees/060PP_Research/_urcmtg2_2008/369ANUEquipFundDiscppapr08.pdf) ). The review was conducted during April – October by a committee chaired by Professor Rob Elliman.

Professor Elliman will attend to speak to the report.

**SPONSOR:** Professor Elliman

### **RECOMMENDATION**

That the Committee provide advice on the recommendations of the Review.

## ITEM 11. REVIEWS

### PURPOSE

For the Committee to be informed about reports and submissions to current and recent Government reviews.

### BACKGROUND

#### 11.1 National Innovation System Review

The Review of the National Innovation System Review commissioned by the Minister for Innovation, Industry, Science and Research, Senator the Hon Kim Carr, in January 2008 has been completed. The Report from the Panel was forwarded to the Minister at the end of August and has been published at the website.

Reference:

- *Venturous Australia – building strength in innovation*  
<http://www.innovation.gov.au/innovationreview/Pages/home.aspx>
- Comments from ANU on the Report ([1264/2008](#))

#### 11.2 Defence White Paper Community Consultation

In February 2008, the Minister for Defence, the Hon Joel Fitzgibbon MP, announced the commissioning of a new Defence White Paper. He also announced the structure of the White Paper along with the appointment of the personnel who will be responsible for the development and delivery of this key strategic document.

The launch of the Defence White Paper Community Consultation Program and release of the public discussion paper '*Key Questions for Defence in the 21<sup>st</sup> Century*' on 5 June were followed by public meetings across the nation with submissions closing on 1 October.

References and Attachments:

- Defence White Paper - Public Discussion Paper  
<http://www.defence.gov.au/whitepaper/discusspaper.htm>
- Submission from ANU ([1265/2008](#))

#### 11.3 Inquiry into research training and research workforce issues in Australian universities – Interim Report

Reference:

[http://www.aph.gov.au/House/committee/isi/research/interim\\_report.htm](http://www.aph.gov.au/House/committee/isi/research/interim_report.htm)

**SPONSOR:** Deputy Vice-Chancellor

### RECOMMENDATION

That the Committee note the reports and ANU's submissions to the reviews.

## ITEM 12. POLICY DEVELOPMENTS

### PURPOSE

For the Committee to advise on the draft Centres paper.

### BACKGROUND

At its meeting of 26 July 2007, the Committee considered the paper, "Principles in establishing and administering centres" (658/2007) which had been prepared to assist the initial stage of the review of the University's policy on the *Establishment, Management and Closing of Academic Centres*. The paper proposed scholarly and administrative principles to guide decisions about whether an ANU entity should be classified as a Centre.

Following further discussions, a paper has been drafted and circulated to ANU Colleges with the request that comments to be forwarded to the Office of the Deputy Vice-Chancellor by 14 November (7 November if possible).

The Committee is invited to comment on the draft.

Attachment and References:

- Draft Policy: *Establishment, Management and Closing of Academic Centres* (1266/2008)
- *Establishment, Management and Closing of Academic Centres* (885c/2003) at <http://info.anu.edu.au/policies/browse.asp?view=title>

**SPONSOR:** Deputy Vice-Chancellor

### RECOMMENDATION

That the Committee:

- (i) Note comments arising in discussion of the draft paper;
- (ii) Endorse in principle the directions of the draft, *Establishment, Management and Closing of Academic Centres* (1266/2008);
- (iii) Note that following finalisation in light of comments received from areas the Deputy Vice-Chancellor will take the paper forward for approval and implementation.

## **ITEM 13. REPORTS FROM ANU COLLEGE RESEARCH COMMITTEES**

### **PURPOSE**

To receive reports from the Chairs of ANU College Research Committees and provide an opportunity for areas to raise research related issues.

### **BACKGROUND**

Reports have been received from:

- ANU College of Business and Economics ([1075/2008](#))
- ANU College of Engineering and Computer Science ([1249/2008](#))
- ANU College of Arts and Social Sciences ([1269/2008](#))
- ANU College of Law ([1275/2008](#))

**SPONSOR:** Deputy Vice-Chancellor

### **RECOMMENDATION**

That the Committee note the reports and any matters arising in discussion.

**PART 3. ITEMS OF OTHER BUSINESS**

**ITEM 14. OTHER BUSINESS AND QUESTION TIME**

**PURPOSE**

For Committee members to ask questions and raise items of other business.

**BACKGROUND**

**14.1 Meeting Dates for 2009**

Tuesday, 2 March in the Mills Room  
Wednesday, 13 May in the Ross Hohnen Room  
Thursday, 9 July in the Ross Hohnen Room  
Thursday 17 September in the Ross Hohnen Room  
Thursday 19 November in the Ross Hohnen Room

Meetings commence at 9.30 am.

**14.2 Grants and Consultancies**

A report on grants and consultancies for the period 1 July to 30 September 2008 ([1099/2008](#)) has been linked to the item at the Committee website.

**SPONSOR:** Deputy Vice-Chancellor

**RECOMMENDATION**

That the Committee note:

- (i) Meeting dates for 2009;
- (ii) The report on grant and consultancies (1099/2008);
- (iii) Any other matters raised and the responses.