

**AUSTRALIAN NATIONAL UNIVERSITY  
UNIVERSITY RESEARCH COMMITTEE**

**Meeting No. 3, 2008**

Meeting No. 3, 2008 of the University Research Committee will be held on

**Thursday 24 July 2008**

**at 9.30 am in the**

**Ross Hohnen Room of the Chancelry**

Apologies:  
Ext.54080

J A Bruce  
Secretary to the Committee

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**AGENDA SUMMARY**

**PART 1: PROCEDURAL ITEMS**

1. **Minutes**
2. **Matters Arising**
3. **Announcements and Apologies**

**PART 2: REPORTS AND POLICY ITEMS**

4. **Report from the Deputy Vice-Chancellor**
5. **Open Access and Presentation of Research**
6. **Excellence in Research for Australia**
7. **Future Fellowships Scheme**
8. **Research Related Policies**
9. **Commercialisation**
10. **Reports from ANU Colleges**

**PART 3: ITEMS OF OTHER BUSINESS**

11. **Other Business and Question Time**

**Procedural Matters for Members to note:****OPERATING PROCEDURES**

The operating procedures of the University Research Committee are detailed in paper 69/2008 (at [http://info.anu.edu.au/OVC/Committees/060PP\\_Research/index.asp](http://info.anu.edu.au/OVC/Committees/060PP_Research/index.asp)).

**CONFIDENTIAL ITEMS**

Consistent with the policy and practice of Council, all matters in the agenda of the University Research Committee relating to individual persons, including appointments, enrolment, candidacy for degrees, personal details, performance and conduct are declared to be confidential. If any member wishes to raise a confidential matter in relation to any other item, he or she should do so under Item 3.3. After consideration of the confidential items, observers will be admitted to the meeting.

**DISCLOSURE OF PECUNIARY OR MATERIAL PERSONAL INTEREST**

Sections 27F to section 27H of the *Commonwealth Authorities and Companies Act 1997* <http://scaleplus.law.gov.au/html/pasteact/2/3067/top.htm> impose a duty to disclose any material personal interest in a matter which relates to the affairs of the University. This disclosure must give details of the nature and extent of the interest, the relation of the interest to the affairs of the University, and be given at a meeting as soon as practicable after the person becomes aware of the interest. The nature and extent of the interest must be recorded in the minutes of the meeting. Unless the meeting determines otherwise, a person who has a material personal interest in a matter must not be present while the matter is being considered at the meeting, and must not vote on the matter.

**USE OF INSIDE INFORMATION OR POSITION**

Under sections 24 and 25 of the *Commonwealth Authorities and Companies Act 1997*, a member of the University Research Committee must not make improper use of his or her position or of inside information to gain an advantage for himself or herself or to cause detriment to the University or to another person.

jab/14 July 2008

**PART 1: PROCEDURAL ITEMS**

**ITEM 1. MINUTES**

The unconfirmed minutes of Meeting No. 2, 2008 of the University Research Committee (379/2008 attached) held on 1 May 2008 have been circulated (published at [http://info.anu.edu.au/OVC/Committees/060PP\\_Research/meetings.asp](http://info.anu.edu.au/OVC/Committees/060PP_Research/meetings.asp))

**For confirmation**

**ITEM 2. MATTERS ARISING**

**ITEM 3. ANNOUNCEMENTS AND APOLOGIES**

**3.1 Apologies**

**To be received**

**3.2 Announcements**

**Changes to Membership:**

ANU CASS representative (Social Sciences): Professor David Marsh

ANU CASS representative (Humanities): Professor Toni Makkai

ANU CECS representative: Professor Rod Kennedy

ANU CoL representative: Professor Kim Rubenstein for Semester II, 2008

General Staff representative: Ms Kathrin Kulhanek (following Ms Woods resignation)

**For noting**

**3.3 Confidential Items**

Confidential Items will be dealt with in this part of the agenda.

**For consideration**

**PART 2: REPORTS AND POLICY MATTERS****ITEM 4. REPORT FROM THE DEPUTY VICE-CHANCELLOR****PURPOSE**

For the Deputy Vice-Chancellor to report on matters of interest to the Committee.

**BACKGROUND**

The Deputy Vice-Chancellor will report on current issues:

- **Budget 2008-09**
  - Better Universities Renewal Funding
  - *Higher Education 2020* Newsletter at [http://www.dest.gov.au/sectors/higher\\_education/publications\\_resources/2020\\_newsletter/default.htm#Newsletter\\_Issue\\_02\\_\(May\\_2008\)](http://www.dest.gov.au/sectors/higher_education/publications_resources/2020_newsletter/default.htm#Newsletter_Issue_02_(May_2008))
- **Australian Research Council *Linkage Projects***
  - Round 2 for funding commencing in 2008: Statistics at [http://www.arc.gov.au/rtf/LP08\\_R2/LP08\\_Rd2\\_attachment.rtf](http://www.arc.gov.au/rtf/LP08_R2/LP08_Rd2_attachment.rtf)
  - ANU's performance
  - Review of Centres of Excellence
- **Reviews**
  - National Innovation System Review
  - NCRIS Roadmap: Exposure Draft at [http://www.ncris.dest.gov.au/development\\_ffolder/roadmap\\_review\\_2008.htm](http://www.ncris.dest.gov.au/development_ffolder/roadmap_review_2008.htm) Closing date for responses: 18 July 2008
- **ANU Reviews**
  - Review of Biosciences: Report published at [http://info.anu.edu.au/OVC/Executive/070PP\\_Reports\\_and\\_Papers/index.asp](http://info.anu.edu.au/OVC/Executive/070PP_Reports_and_Papers/index.asp)
  - Review of Chemistry: Report published at [http://info.anu.edu.au/OVC/Executive/070PP\\_Reports\\_and\\_Papers/index.asp](http://info.anu.edu.au/OVC/Executive/070PP_Reports_and_Papers/index.asp)
  - ANU CAP Review
  - Review of Information Services
  - ANU Major Equipment Funding Review: Membership of Review panel
- **IARU: Research Projects**
- **Institute for Climate Change**  
Attachment: ANU Climate Change Institute ([501/2008](#))
- **Research Planning Day**

**SPONSOR:** Deputy Vice-Chancellor

**RECOMMENDATION**

That the Committee note the report from the Deputy Vice-Chancellor.

**ITEM 5. OPEN ACCESS AND PRESENTATION OF RESEARCH**

**PURPOSE**

For the Committee to receive a report and demonstration about the project.

**BACKGROUND**

The University Research Committee at its meeting of 1 May 2008 noted:

- . the process for workshopping the presentation of ANU research publications and capabilities
- . that open access issues were being pursued in the first place through two position papers to be circulated to ANU Colleges on digital presentation of PhD theses and open access to research publications.

Professor Stanton will give a presentation on the project and progress made since the last meeting.

**SPONSOR:** Pro Vice-Chancellor, Professor Stanton

**RECOMMENDATION**

That the Committee note the report.

## ITEM 6. EXCELLENCE IN RESEARCH FOR AUSTRALIA

### PURPOSE

To receive a report on consultations about the development of the Excellence in Research for Australia (ERA) Initiative.

### BACKGROUND

#### 6.1 ERA Consultation

The ERA Initiative Consultation Paper sought feedback from institutions on a range of issues, including the attribution of publications and other indicators of research, which will be crucial to ERA's shape and utility. The paper was prepared by the ARC with input from the National Health and Medical Research Council and advice from the Department of Innovation, Industry, Science and Research and the independent ARC Advisory Council.

References:

- ERA Initiative Consultation Paper:  
[http://www.arc.gov.au/pdf/ERA\\_ConsultationPaper.pdf](http://www.arc.gov.au/pdf/ERA_ConsultationPaper.pdf)
- ANU's Submission ([503/2008](#) attached)

Following the general consultation process, the ARC is expected to establish a Metrics Working Group and begin targeted consultation on the first and second clusters to be evaluated, i.e. the Physical, Chemical and Earth Sciences, and the Humanities and Creative Arts.

The ERA framework will aim to:

- identify excellence across the full spectrum of research activity
- compare Australia's research effort against international benchmarks
- create incentives to improve the quality of research
- identify emerging research areas and opportunities for further development.

#### 6.2 Consultation on Journal Rankings for ERA Initiative

Consultation is currently in progress on a draft journal rankings list, one of the indicators that will be used on a discipline-specific basis to evaluate research as part of the ERA initiative. The draft list of ranked journals comprises more than 17,000 unique peer reviewed journals with journals of Australian origin well represented in the top tiers. Due to the large interest and importance of exercise, the ARC has extended the closing date for submissions to 14 August 2008.

Attached is a paper, *ANU ERA Response to the ARC Journal Rankings* ([504/2008](#)) which sets out the mechanism used at ANU to recommend changes or additions to the list.

**SPONSOR:** Deputy Vice-Chancellor

### RECOMMENDATION

That the Committee note the report and matters raised in discussion.

## **ITEM 7. FUTURE FELLOWSHIPS SCHEME**

### **PURPOSE**

To receive an update about the development of the Future Fellowships Scheme.

### **BACKGROUND**

Reference:

- Future Fellowships: Consultation Paper at [http://www.arc.gov.au/pdf/future\\_consultation.pdf](http://www.arc.gov.au/pdf/future_consultation.pdf)
- ANU's response to the Consultation Paper ([502/2008](#) attached)

In May 2008, the Minister for Innovation, Industry, Science and Research, Senator Carr, invited responses to the consultation paper on the development of the Government's new Future Fellowship Scheme announced in the Federal Budget.

The Scheme is intended for talented Australian and international mid-career researchers and will offer 1,000 four-year fellowships valued at up to \$140,000 a year. Host organisations will receive an additional \$50,000 a year to support related infrastructure, equipment, travel and relocation costs. The Scheme will be run by the Australian Research Council with the first round of Fellowships to be awarded in 2009.

**SPONSOR:** Deputy Vice-Chancellor

### **RECOMMENDATION**

That the Committee note ANU's submission on the Future Fellowships Consultation Paper and the report from the Deputy Vice-Chancellor.

## ITEM 8. RESEARCH RELATED POLICIES

### PURPOSE

For consideration of the revised policy on responsible practice of research and for members to be informed about other research policy developments.

### BACKGROUND

#### 8.1 Responsible Practice of Research

- Revised Policy on *Responsible Practice of Research* (74c/2008 attached)
- Implementation: *Australian Code for the Responsible Conduct of Research, Part B* at <http://www.nhmrc.gov.au/publications/synopses/r39syn.htm>

#### 8.2 Principles for Research Contract Negotiations

Reference:

*In the Interests of Innovation: Time for a New Approach to Negotiating Research Contracts with Australian Universities* at:

[http://www.go8.edu.au/storage/go8statements/2008/Go8\\_Innovation\\_Review\\_Supp\\_Sub\\_300408.pdf](http://www.go8.edu.au/storage/go8statements/2008/Go8_Innovation_Review_Supp_Sub_300408.pdf)

The paper from ANU's Legal Council has been finalised following consultation with College Research Committees. It forms the Go8's supplementary submission to the review of the National Innovation System and addresses concerns about the standard terms sought by the Commonwealth when entering into research agreements with universities.

#### 8.3 Higher Degree Research Policy Issues

- Report from Professor Thomas, Pro Vice-Chancellor
- *Inquiry into the research training and research workforce issues in Australian universities*

References:

- ANU's Submission to the Inquiry: See [http://info.anu.edu.au/OVC/Committees/040PP\\_Education/uecmgt3\\_2008/ResearchTrainingInquiryANUSubmission30May2008.pdf](http://info.anu.edu.au/OVC/Committees/040PP_Education/uecmgt3_2008/ResearchTrainingInquiryANUSubmission30May2008.pdf)
- Submission from the Go8: See [http://www.go8.edu.au/storage/go8statements/2008/Go8\\_HOR\\_ISI\\_research\\_training\\_inquiry060608.pdf](http://www.go8.edu.au/storage/go8statements/2008/Go8_HOR_ISI_research_training_inquiry060608.pdf)
- Universities Australia's Submission: See <http://www.universitiesaustralia.edu.au/documents/publications/policy/submissions/UniAus-Sub-Research-Training-June08.pdf>

**SPONSOR:** Deputy Vice-Chancellor and Pro Vice-Chancellor, Professor Thomas

### RECOMMENDATION

That the Committee:

- (i) endorse the revised policy on *Responsible Practice of Research* (74c/2008);
- (ii) note the report on the implementation of Part B of the *Australian Code for the Responsible Conduct of Research*;
- (iii) note the remaining items and reports.

## **ITEM 9. COMMERCIALISATION**

### **PURPOSE**

For members to receive a presentation on the commercialisation of research activities at ANU.

### **BACKGROUND**

Papers (to be tabled):

- An outline of *Commercialisation of Research Activities*
- ANU's research commercialisation information for the national data collection 2003-2007

The presentation will be made by the Deputy Vice-Chancellor.

The Legal Office is currently working on a draft *Statute* on Intellectual Property. ANU's IP and commercialisation policies will be reviewed following completion of the *Statute*.

**SPONSOR:** Deputy Vice-Chancellor

### **RECOMMENDATION**

That the Committee note the presentation and discussion of the item.

## **ITEM 10. REPORTS FROM ANU COLLEGES**

### **PURPOSE**

To receive reports from the Chairs of ANU College Research Committees and provide an opportunity for areas to raise research issues.

### **BACKGROUND**

#### **10.1 Reports**

- [ANU College of Medicine and Health Sciences](#) (attached)
- ANU College of Engineering and Computer Science ([474/2008](#) attached)
- ANU College of Law – to be tabled

Reports from other ANU Colleges may be presented at the meeting or tabled.

#### **10.2 Revision of Terms of Reference of ANU College Research Committees**

ANU College Research Committees have been asked to revise their terms of reference as proposed at Meeting No.1 of 11 March 2008 of the University Research Committee. The Committee endorsed, in accordance with the proposals contained in the paper ‘Research Committee Governance Matters’ (72/2008), the standard elements for the terms of reference for College Research Committees, noting that some Colleges will build these elements into their broader statements and reporting requirements:

“The College Research Committee will advise the Chair, the Dean and the College advisory body on:

- 1) College Research Plans which feature:
  - a) consistency with broader University objectives and values
  - b) indicators and targets relating to research performance
  - c) timetables for monitoring and evaluation
  - d) disposition of resources to support the best activities in selected priority areas
- 2) the quality of research using criteria set out in the College Research Plan
- 3) research administration and research development in the College.

The College Research Committee will engage with the University Research Committee through the CRC Chair or College Dean to:

- 1) remain informed of university wide policies and issues
- 2) discuss and comment on university wide issues raised at the University Research Committee.”

**SPONSOR:** Deputy Vice-Chancellor

### **RECOMMENDATION**

That the Committee note the reports and any matters arising in discussion.

**PART 3. ITEMS OF OTHER BUSINESS**  
**ITEM 11. OTHER BUSINESS AND QUESTION TIME**

**PURPOSE**

For Committee members to ask questions and raise items of other business.

**BACKGROUND**

**11.1 Grants and Consultancies**

A report on grants and consultancies awarded between 1 April and 30 June 2008 may be viewed at the Committee's website ([500/2008](#)).

**SPONSOR:** Deputy Vice-Chancellor

**RECOMMENDATION**

That the Committee note the matters raised and the responses.