

**THE AUSTRALIAN NATIONAL UNIVERSITY  
UNIVERSITY RESEARCH COMMITTEE**

**Meeting No. 3, 2007**

Meeting No. 3, 2007 of the University Research Committee will be held on

**Thursday 26 July 2007**

**at 9.30 am in the**

**R C Mills Room of the Chancelry**

Apologies:  
Ext.54080

J A Bruce  
Secretary to the Committee

---

**AGENDA SUMMARY**

**PART 1: GENERAL ITEMS**

- 1. Minutes**
- 2. Matters Arising**
- 3. Announcements and Apologies**

**PART 2: REPORTS AND POLICY ITEMS**

- 4. Report from the Deputy Vice-Chancellor**
- 5. Higher Degree Research Training Review**
- 6. Research Quality Framework (RQF)**
- 7. Review of the Establishment, Management and Closing of Academic Centres Policy**
- 8. Commercialisation**
- 9. Research Infrastructure**
- 10. Research Integrity**
- 11. Reports from ANU Colleges**

**PART 3: ITEMS OF OTHER BUSINESS**

- 12. Other Business and Question Time**

**Procedural Matters for Members to note:****OPERATING PROCEDURES**

The operating procedures of the University Research Committee are detailed in paper 174a/2007 (at [http://info.anu.edu.au/OVC/Committees/060PP\\_Research/\\_urcmtg1\\_2007/174aurefuncsmar07.pdf](http://info.anu.edu.au/OVC/Committees/060PP_Research/_urcmtg1_2007/174aurefuncsmar07.pdf)).

**CONFIDENTIAL ITEMS**

Consistent with the policy and practice of Council, all matters in the agenda of the University Research Committee relating to individual persons, including appointments, enrolment, candidacy for degrees, personal details, performance and conduct are declared to be confidential. If any member wishes to raise a confidential matter in relation to any other item, he or she should do so under Item 3.3. After consideration of the confidential items, observers will be admitted to the meeting.

**DISCLOSURE OF PECUNIARY OR MATERIAL PERSONAL INTEREST**

Sections 27F to section 27H of the *Commonwealth Authorities and Companies Act 1997* <http://scaleplus.law.gov.au/html/pasteact/2/3067/top.htm> impose a duty to disclose any material personal interest in a matter which relates to the affairs of the University. This disclosure must give details of the nature and extent of the interest, the relation of the interest to the affairs of the University, and be given at a meeting as soon as practicable after the person becomes aware of the interest. The nature and extent of the interest must be recorded in the minutes of the meeting. Unless the meeting determines otherwise, a person who has a material personal interest in a matter must not be present while the matter is being considered at the meeting, and must not vote on the matter.

**USE OF INSIDE INFORMATION OR POSITION**

Under sections 24 and 25 of the *Commonwealth Authorities and Companies Act 1997*, a member of the University Research Committee must not make improper use of his or her position or of inside information to gain an advantage for himself or herself or to cause detriment to the University or to another person.

jab/18 July 2007

**PART 1: GENERAL ITEMS**

**ITEM 1. MINUTES**

The unconfirmed minutes of Meeting No. 2, 2007 of the University Research Committee (305/2007 attached) held on 3 May 2007 have been circulated (published at [http://info.anu.edu.au/OVC/Committees/060PP\\_Research/meetings.asp](http://info.anu.edu.au/OVC/Committees/060PP_Research/meetings.asp))

Amendment:

Item 2. 6<sup>th</sup> bullet point

After “companies”, insert “and with Earth Sciences AuScope”

**For confirmation**

**ITEM 2. MATTERS ARISING**

**ITEM 3. ANNOUNCEMENTS AND APOLOGIES**

**3.1 Apologies**

**To be received**

**3.2 Announcements**

**For noting**

**3.3 Confidential Items**

Confidential Items will be dealt with in this part of the agenda.

**For consideration**

## **PART 2: REPORTS AND POLICY MATTERS**

### **ITEM 4. REPORT FROM THE DEPUTY VICE-CHANCELLOR**

#### **PURPOSE**

For the Deputy Vice-Chancellor to report on matters of interest to the Committee.

#### **BACKGROUND**

The Deputy Vice-Chancellor will report on current issues:

- NCRIS
- Research Support Planning Day for 2007
- Reviews:
  - . Registrar and Student Services Division
- Commercialisation Training Scheme (CTS) update
- Grant Outcomes:
  - . Federation Fellowships
  - . Special Research Initiatives Funding Scheme: Centre of Excellence for Policing and Security
  - . Grants

**SPONSOR:** Deputy Vice-Chancellor

#### **RECOMMENDATION**

That the Committee note the report from the Deputy Vice-Chancellor.

**ITEM 5. HIGHER DEGREE RESEARCH TRAINING REVIEW**

**PURPOSE**

To receive a report on the progress of the Reference Group and Working Parties.

**BACKGROUND**

Professor Thomas will report on the discussion of the Working Parties and issues that have emerged from meetings.

**SPONSOR:** Professor Thomas, Pro Vice-Chancellor

**RECOMMENDATION**

That the Committee note the report.

## **ITEM 6. RESEARCH QUALITY FRAMEWORK (RQF)**

### **PURPOSE**

To receive a report on progress in the lead up to implementation of the RQF.

### **BACKGROUND**

- The Deputy Vice-Chancellor will report on:
  - ANU and Go8 response to Draft Submission Specifications June 2007  
Attachment: ANU Response to Draft RQF Submission Specifications ([656/7007](#))  
[Draft](#) Submission Specifications (published at website)
  - Draft RQF technical specifications process
  - Development of metrics for quality assessment
  - ANU activity over forthcoming weeks:
    - . Briefing sessions  
Attachment: Schedule of Presentations ([657/2007](#))
    - . Data checking and collection
  
- RQF Newsletters from the RQF Team for May and June (See: [http://www.dest.gov.au/sectors/research\\_sector/policies\\_issues\\_reviews/key\\_issues/research\\_quality\\_framework/rqf\\_newsletters.htm#Newsletters\\_2007](http://www.dest.gov.au/sectors/research_sector/policies_issues_reviews/key_issues/research_quality_framework/rqf_newsletters.htm#Newsletters_2007))

**SPONSOR:** Deputy Vice-Chancellor

### **RECOMMENDATION**

That the Committee note the report and matters raised in discussion.

**ITEM 7. REVIEW OF THE ESTABLISHMENT, MANAGEMENT AND CLOSING OF ACADEMIC CENTRES POLICY**

**PURPOSE**

To consider the principles for establishing Academic Centres.

**BACKGROUND**

The University's policy on the *Establishment, Management and Closing of Academic Centres* was last reviewed in 2003.

As an initial step in reviewing its policy, the Deputy Vice-Chancellor is seeking to agree the principles for determining whether an ANU entity can be classified as a Centre.

Attachment:

- Principles in establishing and administering centres ([658/2007](#))

**SPONSOR**

Deputy Vice-Chancellor

**RECOMMENDATION**

That the Committee provide advice to the Deputy Vice-Chancellor

**ITEM 8. COMMERCIALISATION**

**PURPOSE**

To receive a draft of the key principles in ANU's revised Policy on Commercialisation.

**BACKGROUND**

The Chair will outline the key principles.

Attachment:

- Policy on Commercialisation Draft Principles ([660/2007](#))

**SPONSOR:** Deputy Vice-Chancellor

**RECOMMENDATION**

That the Committee note the draft principles and any matters arising in discussion.

## **ITEM 9. RESEARCH INFRASTRUCTURE**

### **PURPOSE**

For the Committee to:

- (i) consider the new infrastructure access policy and procedures;
- (ii) discuss the provisions of common research infrastructure services; and
- (iii) discuss infrastructure funding.

### **BACKGROUND**

#### **9.1 Policy and Procedures**

Attachments:

- *Research Infrastructure Access Policy* ([648/2007](#))
- *Research Infrastructure Access Procedures* ([647/2007](#))

The drafts from the Working Party chaired by Professor Elliman were circulated for comment to ANU College Conveners, Deans and Executive Officers, Directors, Heads of Administrative Areas and to members of the Research Committee, the Major Equipment Committee, Dr Glenn and the Pro Vice-Chancellors. Comments received have been taken into account in finalizing the documents.

#### **9.2 Common Research Infrastructure Services**

Attachment:

- *Common Research Infrastructure Services (CRIS)* ([654/2007](#)) prepared by the Chair and Dr Wellard

#### **9.3 Infrastructure Funding**

Attachment:

- *ANU Capital Equipment Fund* – a discussion paper ([661/2007](#)) prepared by Professor Elliman, Chair of the Major Equipment Committee

**SPONSOR:** Deputy Vice-Chancellor

### **RECOMMENDATION**

That the Committee:

- (i) endorse the *Research Infrastructure Access Policy* (648/2007) and the *Research Infrastructure Access Procedures* (847/2007) and recommend that they be approved for implementation;
- (ii) refer any comments arising in discussion about common research infrastructure services and infrastructure funding to the Deputy Vice-Chancellor.

## **ITEM 10. RESEARCH INTEGRITY**

### **PURPOSE**

To note the annual reports from the ANU ethics committees and to receive an update on other developments in the area of research integrity.

### **BACKGROUND**

#### **10.1 Annual Reports for 2006**

Attachments:

- Animal Experimentation Ethics Committee Annual Report ([102/1007](#))
- Human Research Ethics Committee Annual Report ([652/2007](#))
- Institutional Biosafety Committee/Recombinant DNA Monitoring Committee (IBC) Annual Report ([653/2007](#))

The Chairs of the University research ethics committees or their representatives will be available at the meeting to answer any questions about the annual reports.

#### **10.2 Human Research Ethics Committee**

A report will be made on the update of the terms of reference to reflect the E2 protocol assessments and committee structure following implementation of the *National Statement on Ethical Conduct in Human Research (2007)*.

Attachment:

- Draft *Terms of Reference Human Research Ethics Committee* ([659/2007](#))

#### **10.3 *Joint NHMRC/AVCC National Statement and Guidelines on Research Practice (May 1997)***

The Deputy Vice-Chancellor will report on the status of the review of the Joint National Statement.

**SPONSOR:** Deputy Vice-Chancellor

### **RECOMMENDATION**

That the Committee note the reports and any matters arising in discussion.

**ITEM 11. REPORTS FROM ANU COLLEGES**

**PURPOSE**

To receive reports from the Chairs of ANU College research committees and for members to raise any research issues.

**BACKGROUND**

The Chairs of ANU College Research Committees, or their representatives, are invited to report on current research activities and to raise any issues for discussion.

**SPONSOR:** Deputy Vice-Chancellor

**RECOMMENDATION**

That the Committee note the reports.

**PART 3. ITEMS OF OTHER BUSINESS**  
**ITEM 12. OTHER BUSINESS AND QUESTION TIME**

**PURPOSE**

For Committee members to ask questions and raise items of other business.

**BACKGROUND**

- Grants and consultancies for the period 1 February to 30 June 2007 ([651/2007](#)) may be viewed at the website.

**SPONSOR:** Deputy Vice-Chancellor

**RECOMMENDATION**

That the Committee:

- (i) note the report on grants and consultancies (651/2007); and
- (ii) note any items of other business and the responses.